

FORM N-PX FILER INFORMATION

Form N-PX

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM N-PX
ANNUAL REPORT OF PROXY VOTING RECORD

OMB APPROVAL

OMB Number: 3235-0582

Estimated average burden hours per
response: 20.8

N-PX: Filer Information

Filer CIK:

0001644419

Filer CCC:

Date of Report:

06/30/2024

Are you a Registered Management Investment Company or
an Institutional Manager?

Registered Management Investment Company

Filer Investment Company Type

Form N-1A Filer (Mutual Fund)

Is this a LIVE or TEST Filing?

LIVE

Is this an electronic copy of an official filing submitted in paper
format?

Submission Contact Information

Name

FilePoint

Phone

919-706-4090

E-mail Address

file@filepoint.com

Notification Information

Notify via Filing Website only?

N-PX: Series/Class (Contract) Information

All?

Series ID Record 1

Series ID

S000068966 Sterling Capital Focus Equity ETF

All?

Class ID Record 1

Class ID

C000220403

N-PX: Cover Page

Name and address of reporting person:

Name of reporting person (For registered management investment companies, provide exact name of registrant as specified in charter)

Northern Lights Fund Trust IV

Street 1

225 Pictoria Drive

Street 2

Suite 450

City

Cincinnati

State/Country

OH

Zip code and zip code extension or foreign postal code

45246

Telephone number of reporting person, including area code:

631-470-2600

Name and address of agent for service:

Name of agent for service

The Trust Corporation Trust Company

Street 1

1209 Orange Street

Street 2

City

Wilmington

State/Country

DE

Zip code and zip code extension or foreign postal code

19801

Reporting Period:

Report for the year ended June 30,

2024

SEC Investment Company Act or Form 13F File Number:

811-23066

CRD Number (if any):

Other SEC File Number (if any):
Legal Entity Identifier (if any):

333-204808
549300TQVFTFHODA5C95

Report Type (check only one):

Do you wish to provide explanatory information pursuant to Special Instruction B.4?:
Additional information:

Registered Management Investment Company.
 Fund Voting Report (Check here if the registered management investment company held one or more securities it was entitled to vote.)
 Fund Notice Report (Check here if the registered management investment company did not hold any securities it was entitled to vote.)
Institutional Manager.
 Institutional Manager Voting Report (Check here if all proxy votes of this reporting manager are reported in this report.)
 Institutional Manager Notice Report (Check here if no proxy votes are reported in this report and complete the notice report filing explanation section below)
 Institutional Manager Combination Report (Check here if a portion of the proxy votes for this reporting manager are reported in this report and a portion are reported by other reporting person(s).)
 Yes No

N-PX: Summary - Included Managers

Number of Included Institutional Managers:

0

N-PX: Summary - Included Series

Number of Series:

1

Information about the Series: 1

Series Identification Number:
Series Name:
LEI:

S000068966
Sterling Capital Focus Equity ETF
549300TQVFTFHODA5C95

N-PX: Signature Block

Reporting Person:
By (Signature):

Northern Lights Fund Trust IV
/s/ Wendy Wang

By (Printed Signature):

/s/ Wendy Wang

By (Title):

President of the Trust

Date:

08/27/2024

FORM N-PX PROXY VOTING RECORD

Table with columns: COLUMN 1 (NAME OF ISSUER), COLUMN 2 (CUSIP), COLUMN 3 (ISS), COLUMN 4 (FOI), COLUMN 5 (MEETING DATE), COLUMN 6 (VOTE DESCRIPTION), COLUMN 7 (VOTE CATEGORY), COLUMN 8 (DESCRIPTION OF OTHER CATEGORY), COLUMN 9 (VOTE SOURCE), COLUMN 10 (SHARES VOTED), COLUMN 11 (SHARES ON LOAN), COLUMN 12 (HOW VOTED), COLUMN 13 (SHARES VOTED FOR OR AGAINST MANAGEMENT), COLUMN 14 (DETAILS OF VOTE), COLUMN 15 (MANAGER NUMBER), COLUMN 16 (SERIES ID), COLUMN 17 (OTHER INFO).

MSI Inc.	55544100	06/23/2024	Election of Director: Linda H. Reiller	DIRECTOR ELECTIONS	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	Election of Director: Burton L. Smith	DIRECTOR ELECTIONS	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	Election of Director: Brian E. Toney	DIRECTOR ELECTIONS	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	Election of Director: Paula Violent	DIRECTOR ELECTIONS	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	To approve, by non-binding vote, our executive compensation, as disclosed in these proxy materials.	SECTION 14A SAY-ON-PAY VOTES	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	To ratify the appointment of PricewaterhouseCoopers LLP as independent auditor.	AUDI-RELATED	ISSUER	4934.00	0.00	FOR	4934.00	FOR	500008966
MSI Inc.	55544100	06/23/2024	To approve shareholder proposal to report on Chinese military-industry companies in Company indices.	OTHER SOCIAL ISSUES	ISSUER	4934.00	0.00	AGAINST	4934.00	FOR	500008966
Oka, Inc.	67929105	06/20/2024	Election of Director: Eric L. Cio	DIRECTOR ELECTIONS	ISSUER	31624.00	0.00	FOR	31624.00	FOR	500008966
Oka, Inc.	67929105	06/20/2024	Election of Director: David MacKinnon	DIRECTOR ELECTIONS	ISSUER	31624.00	0.00	FOR	31624.00	FOR	500008966
Oka, Inc.	67929105	06/20/2024	Election of Director: Michael Stanley	DIRECTOR ELECTIONS	ISSUER	31624.00	0.00	FOR	31624.00	FOR	500008966
Oka, Inc.	67929105	06/20/2024	To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the fiscal year ending January 31, 2025.	AUDI-RELATED	ISSUER	31624.00	0.00	FOR	31624.00	FOR	500008966
Oka, Inc.	67929105	06/20/2024	To approve, on an advisory non-binding basis, the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	ISSUER	31624.00	0.00	FOR	31624.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Sherry A. Aulovin	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: David S. Coughlin	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: John E. Condes, Jr.	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Andrew S. Davis	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Kevin M. Freeman	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Bradley R. Gibson	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Greg C. Gunn	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: John D. Kasarda	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Charles S. Miller	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Wendy L. Stallings	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Thomas A. Smith, III	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Election of Director: Lee H. Sarge	DIRECTOR ELECTIONS	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Approval, On An Advisory Basis, Of The Compensation Of The Company's Named Executive Officers.	SECTION 14A SAY-ON-PAY VOTES	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Approval Of An Amendment To The Company's Amended Anti-Retention Articles Of Incorporation To Increase The Number Of Authorized Shares Of Our Common Stock.	CAPITAL STRUCTURE	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Ratification Of The Appointment Of Ernst & Young LLP As The Company's Independent Registered Public Accounting Firm For The Year Ending December 31, 2024.	AUDI-RELATED	ISSUER	4487.00	0.00	FOR	4487.00	FOR	500008966
Old Dominion Freight Line, Inc.	67958010	05/15/2024	Shareholder Proposal Regarding Greenhouse Gas Reduction Targets.	ENVIRONMENT OR CLIMATE	ISSUER	4487.00	0.00	AGAINST	4487.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Marco Aversa	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: James Eschler	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Gay Hoy Evans	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: William D. Green	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Stephen C. Hill	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Rebecca Jacoby	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Robert P. Kelly	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Ian P. Livingston	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Maria B. Meers	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Douglas L. Peterson	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Richard E. Thornburgh	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Election of Director: Gregory Wadington	DIRECTOR ELECTIONS	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Approve, on an advisory basis, the executive compensation program for the Company's named executive officers, as described in the Proxy Statement	SECTION 14A SAY-ON-PAY VOTES	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Approve the Company's Proposed Deferred Ownership Plan, as Amended and Restated	COMPENSATION	ISSUER	12127.00	0.00	FOR	12127.00	FOR	500008966
S&P Global Inc.	78409V104	05/01/2024	Ratify the appointment of Ernst & Young LLP as the Company's independent auditor for 2024.	AUDI-RELATED	ISSUER	12127.00	0.00	AGAINST	12127.00	AGAINST	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Deborah Black	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Adam L. Brudman	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Teresa Briggs	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Jonathan C. Chadwick	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Paul F. Chaudhary	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Lawrence J. Jackson, Jr.	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Frederic B. Laddery	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: William R. McDermott	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Jeffrey A. Miller	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Joseph Larry Quinlan	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Election of Directors: Anita M. Sander	DIRECTOR ELECTIONS	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Advisory vote to approve ServiceNow's named executive officer compensation.	SECTION 14A SAY-ON-PAY VOTES	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
ServiceNow, Inc.	81762P102	05/23/2024	Ratification of PricewaterhouseCoopers LLP as the independent registered public accounting firm for 2024.	AUDI-RELATED	ISSUER	3570.00	0.00	FOR	3570.00	FOR	500008966
Shopyify Inc.	8259L107	05/23/2024	Shareholder proposal regarding simple majority vote.	SHAREHOLDER RIGHTS AND DEFENSES	ISSUER	56754.00	0.00	FOR	56754.00	NONE	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: John Larko	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Robert G. Ashe	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Gail Goodwin	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Colleen M. Johnson	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Jeremy Levine	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Prashant Maheshwari-Rajh	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Lulu Cheng Mervinsky	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Toby Shuman	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Election of Director: Fady Simo	DIRECTOR ELECTIONS	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Appointment of Auditor and Authority to Set Fees	AUDI-RELATED	ISSUER	56754.00	0.00	FOR	56754.00	FOR	500008966
Shopyify Inc.	8259L107	06/04/2024	Renewal of Stock Option Plan	COMPENSATION	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Renewal of Long Term Incentive Plan	COMPENSATION	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Shopyify Inc.	8259L107	06/04/2024	Advisory Vote on Executive Compensation	COMPENSATION	ISSUER	56754.00	0.00	AGAINST	56754.00	AGAINST	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Dr. Aart J. de Geus	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Roy Velten	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Sassan Ghai	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Luis Borgan	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Marc N. Casper	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: James D. Chaffin	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Bruce R. Chazen	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Mercedes Johnson	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Robert G. Pannier	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: Jeanine P. Sargent	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00	FOR	2420.00	FOR	500008966
Synopsis, Inc.	871607107	04/10/2024	To elect eleven directors nominated by our Board of Directors to hold office until the next annual meeting of stockholders or until their successors have been elected: John S. Schwarz	DIRECTOR ELECTIONS	ISSUER	2420.00	0.00				